

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

Name	
Account Alias	Gray Market
Account	
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

## Net Asset Value

December 30, 2022		May 28, 2023			Change	Change in NAV	
Total	Long	Short	Total	Total			
Cash	2,149,663.87	378,673.72	0.00	378,673.72	-1,770,990.15	Starting Value	3,009,314.31
Collateral Value	644,000.00	1,740,000.00	0.00	1,740,000.00	1,096,000.00	Mark-to-Market	3,156,545.32
Stock	242,650.44	1,289,672.00	-996,463.00	293,209.00	50,558.51	Deposits & Withdrawals	715,000.00
Credit Derivatives	-27,000.00	1,312,500.00	437,500.00	1,750,000.00	1,777,000.00	Interest	22,579.79
Options	0.00	2,579,344.00	0.00	2,579,344.00	2,579,344.00	Change in Interest Accruals	57,790.55
Interest Accruals	0.00	57,790.55	0.00	57,790.55	57,790.55	Other Fees	-16,210.24
Total	3,009,314.31	7,357,980.27	-558,963.00	6,799,017.27	3,789,702.96	Commissions	-146,002.46
Time Weighted Rate of Return					125.93%	Ending Value	6,799,017.27

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Total	Code	
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other				
Stocks												
										741,964.25		
										840.75		
										742,805.00		
Equity and Index Options												
										75,766.75		
										109,383.00		
										157,200.50		

## Mark-to-Market Performance Summary

Symbol	Quantity		Prior	Price		Position	Mark-to-Market P/L			Total	Code
	Prior	Current		Prior	Current		Transaction	Commissions	Other		
										-1,523.30	
										93,975.25	
										369,355.00	
										-80.03	
										-2,748.50	
										12,077.50	
										-13,194.42	
										-1,534.35	
										100,315.75	
										150,458.46	
										-10,359.41	
										-616.17	
										57,571.25	
										-5,753.16	
										49,872.00	
										-325.39	
										-22,251.94	
										431,049.51	
										76,555.25	
										110,178.75	
										-341.99	
										-138.00	
										148,336.00	
										117,475.75	
										-84.30	
										-252.42	
										30,075.00	
										48,495.00	
										-6,058.21	
										-1,559.17	
										-252.42	
										38,024.50	
										98,078.50	
										128,423.25	
										458,115.25	
										317,206.75	
										-136.45	
										-401.86	
										159,160.75	
										135,436.50	
										-401.86	
										-519.62	
										65,773.25	
										-10,176.10	
										-519.62	

Mark-to-Market Performance Summary									
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Forex											
										0.00	
Total Forex										0.00	
Total (All Assets)										3,155,238.71	
Broker Interest Paid and Received										7,652.50	
Other Fees										-6,345.89	
Total P/L for Statement Period										3,156,545.32	

Realized & Unrealized Performance Summary									
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[illegible]

## Realized & Unrealized Performance Summary

[illegible]

## Notes

1. This statement uses wash sales P/L for realized and unrealized P/L calculations.

## Cash Report

	Total	Securities	Credit Derivatives
<b>Base Currency Summary</b>			
<b>Cash Detail</b>			
Starting Cash	2,902,046.22	2,149,663.87	752,382.35
Commissions	-197,103.32	-146,002.46	-51,100.00
Deposits	1,296,000.00	960,000.00	336,000.00
Withdrawals	-330,750.00	-245,000.00	-85,750.00
Broker Interest Paid and Received	30,482.71	22,579.79	7,902.92
Trades (Sales)	4,098,095.90	3,021,334.77	1,076,761.13
Trades (Purchase)	-3,326,870.42	-2,464,348.46	-862,521.96
Other Fees	-21,883.82	-16,210.24	-5,673.58
Ending Cash	4,450,017.27	3,282,017.27	1,168,000.00
Ending Settled Cash	4,450,017.27	3,282,017.27	1,168,000.00
<b>Collateral Value Detail</b>			
Starting Collateral Value	869,400.00	1,096,000.00	225,400.00
Net Securities Lent Activity	1,479,600.00	644,000.00	383,600.00
Ending Collateral Value	4,450,017.27	1,740,000.00	609,000.00
<b>Net Cash Detail</b>			
Net Cash Balance	6,799,017.27	5,022,017.27	1,777,000.00
Net Settled Cash Balance	6,799,017.27	5,022,017.27	1,777,000.00

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>Equity and Index Options</b>								

## Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
<b>Stocks</b>					

## Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
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## Trades

## Stocks

USD

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Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
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Equity and Index Options

[illegible]

[illegible]

[illegible]



[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]



[illegible]

## Fees

[illegible]

## Interest

[illegible]

## Deposits & Withdrawals

[illegible]

## Interest Accruals

Base Currency Summary	
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]

[illegible]

1. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Borrow Fee Details									
Value Date	Symbol	Quantity	Price	Value	Fee Rate (%)	Borrow Fee	Code		
USD									

[illegible]

## Stock Yield Enhancement Program Securities Lent Activity

[illegible]

Notes

1. Important Notice re: SIPC Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the provisions of the Securities Investor Protection Act of 1970 may not protect you with respect to the securities loan transaction. Therefore, the collateral value credited to your account by Interactive Brokers (see above) may constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

## Stock Yield Enhancement Program Securities Lent Interest Details

[illegible]

## Stock Yield Enhancement Program Securities Lent Interest Details

[illegible]

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							

## Financial Instrument Information

[illegible]

## Financial Instrument Information

[illegible]

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LD	Adjusted by Loss Disallowed from Wash Sale
ADR	ADR Fee Accrual	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
AFx	AutoFX conversion resulting from trading	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	The fractional portion of this trade was executed against IB or an affiliate.	RI	Recurring Investment
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
GEA	Exercise or Assignment resulting from offsetting positions	Rb	Rebill
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant

## Notes/Legal Notes

### Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

### Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

### Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

**Interactive Brokers LLC, [www.interactivebrokers.com](http://www.interactivebrokers.com), 877-442-2757 (U.S.)**

**Interactive Brokers (UK) (Ltd), [www.interactivebrokers.co.uk](http://www.interactivebrokers.co.uk), 00800-42-276537 (Intl)**

**Interactive Brokers Canada Inc., [www.interactivebrokers.ca](http://www.interactivebrokers.ca), 877-745-4222 (Can.)**

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).



## Notes/Legal Notes

3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

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